

Vista®

# Alps Industries Limited

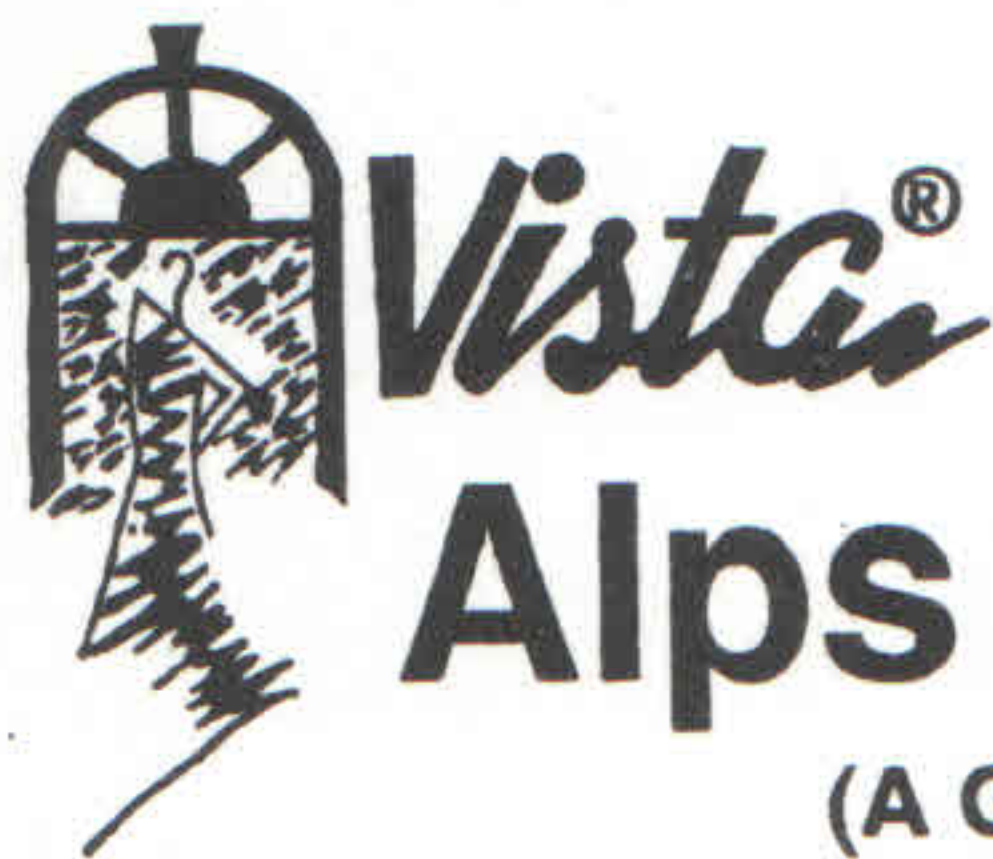
(A Government Recognised Trading House)  
An ISO 14001 & ISO 9001 Company

Regd./Corp. Off. : 57/2, Site-IV Industrial Area, Sahibabad, Ghaziabad-201010 INDIA  
Phones : 91-120-4161700 (30 Lines) Fax : 91-120-2896041, 2895299  
Email : info@alpsindustries.com Web. : www.alpsindustries.com  
CIN No. : L51109UP1972PLC003544

1. Name of Listed Entity : ALPS INDUSTRIES LIMITED
2. Quarter ending : 31<sup>ST</sup> MARCH 2016

I. Disclosure on website in terms of Listing Regulations		Compliance status (Yes/No/NA)
ITEM		
Details of business		
Terms and conditions of appointment of independent directors		Yes
Composition of various committees of board of directors		Yes
Code of conduct of board of directors and senior management personnel		Yes
Details of establishment of vigil mechanism/ Whistle Blower policy		Yes
Criteria of making payments to non-executive directors		Yes
Policy on dealing with related party transactions		Yes
Policy for determining 'material' subsidiaries		Yes
Details of familiarization programmes imparted to independent directors		Yes
Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances email address for grievance redressal and other relevant details		Mr. Ajay Gupta Company Secretary & G.M. Legal ajaygupta@alpsindustries.com Off: 0120-4161716
Financial results		Yes
Shareholding pattern		Yes
Details of agreements entered into with the media companies and/or their associates		N/A
New name and the old name of the listed entity		N/A
II Annual Affirmations		
Particulars	Regulation Number	Compliance Status (Yes/No/NA)
Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'		Yes
Board composition		Yes
Meeting of Board of directors		Yes
Review of Compliance Reports		Yes
Plans for orderly succession for appointments		Yes
Code of Conduct		Yes
Fees/compensation		Yes
Minimum Information		Yes
Compliance Certificate		Yes
Risk Assessment & Management		Yes





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Performance Evaluation of Independent Directors		Yes
Composition of Audit Committee		Yes
Meeting of Audit Committee		Yes
Composition of nomination & remuneration committee		Yes
Composition of Stakeholder Relationship Committee		Yes
Composition and role of risk management committee		N/A
Vigil Mechanism		Yes
Policy for related party Transaction		Yes
Prior or Omnibus approval of Audit Committee for all related party transactions		Yes
Approval for material related party transactions	23(4)	Yes
Composition of Board of Directors of unlisted material Subsidiary	24(1)	Yes
Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2),(3),(4),(5) & (6)	Yes
Maximum Directorship & Tenure	25(1) & (2)	Yes
Meeting of independent directors	25(3) & (4)	Yes
Familiarization of independent directors	25(7)	Yes
Memberships in Committees	26(1)	Yes
Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	Yes
Disclosure of Shareholding by Non- Executive Directors	26(4)	Yes
Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes

### III. Affirmations:

The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied.

  
**AJAY GUPTA**  
Company Secretary &  
G.M.- Legal

